

## Table of Contents

INTRODUCTION .....	1
5-I.A. OVERVIEW .....	2
5-I.B. BRIEFING [24 CFR 982.301] .....	2
Notification and Attendance.....	2
Oral Briefing [24 CFR 982.301(a)].....	2
Briefing Packet [24 CFR 982.301(b)].....	3
Additional Items to Be Included in the Briefing Packet .....	4
5-I.C. FAMILY OBLIGATIONS .....	4
Time Frames for Reporting Changes Required By Family Obligations .....	4
Family Obligations [24 CFR 982.551].....	4
5-II.A. OVERVIEW .....	7
5-II.B. DETERMINING FAMILY UNIT (VOUCHER) SIZE [24 CFR 982.402].....	7
5-II.C. EXCEPTIONS TO SUBSIDY STANDARDS .....	8
5-II.D. VOUCHER ISSUANCE [24 CFR 982.302].....	8
5-II.E. VOUCHER TERM AND EXTENSIONS.....	9
Voucher Term [24 CFR 982.303] .....	9
Extensions of Voucher Term [24 CFR 982.303(b)].....	9
Suspensions of Voucher Term [24 CFR 982.303(c)].....	10
Expiration of Voucher Term.....	11

## Chapter 5

### BRIEFINGS AND VOUCHER ISSUANCE

#### INTRODUCTION

This chapter explains the briefing and voucher issuance process. When a family is determined to be eligible for the Housing Choice Voucher (HCV) program, the PHA must ensure that the family fully understands the way the program operates and the family's obligations under the program. This is accomplished through both an oral briefing and provision of a briefing packet containing the HUD-required documents and other information the family needs to know in order to lease a unit under the program. Once the family is fully informed of the program's requirements, the PHA issues the family a voucher. The voucher includes the unit size for which the family qualifies based on the PHA's subsidy standards, as well as the issue and expiration date of the voucher. The voucher is the document that authorizes the family to begin its search for a unit and limits the amount of time the family has to successfully locate an acceptable unit.

This chapter describes HUD regulations and PHA policies related to these topics in two parts:

Part I: Briefings and Family Obligations. This part details the program's requirements for briefing families orally, and for providing written materials describing the program and its requirements. It includes a particular focus on the family's obligations under the program.

Part II: Subsidy Standards and Voucher Issuance. This part discusses the PHA's standards for determining how many bedrooms a family of a given composition qualifies for, which in turn affects the amount of subsidy the family can receive. It also discusses the policies that dictate how vouchers are issued, and how long families have to locate a unit.

## **PART I: BRIEFINGS AND FAMILY OBLIGATIONS**

### **5-I.A. OVERVIEW**

HUD regulations require the PHA to conduct mandatory briefings for applicant families who qualify for a voucher. The briefing provides a broad description of owner and family responsibilities, explains the PHA's procedures, and includes instructions on how to lease a unit. This part describes how oral briefings will be conducted, specifies what written information will be provided to families, and lists the family's obligations under the program.

### **5-I.B. BRIEFING [24 CFR 982.301]**

The PHA must give the family an oral briefing and provide the family with a briefing packet containing written information about the program. Families may be briefed individually or in groups. At the briefing, the PHA must ensure effective communication in accordance with Section 504 requirements (Section 504 of the Rehabilitation Act of 1973) and ensure that the briefing site is accessible to individuals with disabilities. For a more thorough discussion of accessibility requirements, refer to Chapter 2.

#### **MDOC Policy**

**Briefings will be conducted by Field Agency either in person or by telephone. Briefings may also be conducted in groups or individually.**

**For in-person briefings, the head of household is required to attend, and it is strongly suggested that all adult members of the household attend the briefing. For telephone briefings, the head of household will be contacted.**

### **Notification and Attendance**

#### **MDOC Policy**

**For in-person briefings, families will be notified of the date and time for the scheduled briefing session; the notice will identify who is required to attend the briefing.**

**If the briefing notice is returned by the post office, the applicant will be denied assistance and their name will be removed from the waiting list.**

**Applicants may request to reschedule one time prior to the date and time of the briefing. Applicants who fail to attend one scheduled briefings without prior Field Agency approval, will be denied assistance (see Chapter 3).**

### **Oral Briefing [24 CFR 982.301(a)]**

Each briefing must provide information on the following subjects:

- How the Housing Choice Voucher program works;
- Family and owner responsibilities;
- Where the family can lease a unit, including renting a unit inside or outside the PHA's jurisdiction;
- An explanation of how portability works. The PHA may not discourage the family from choosing to live anywhere in the PHA jurisdiction or outside the PHA jurisdiction under portability, unless otherwise expressly authorized by statute, regulation, PIH Notice, or court order;
- The PHA must inform the family of how portability may affect the family's assistance through screening, subsidy standards, payment standards, and any other elements of the portability

- process which may affect the family's assistance;
- The advantages of areas that do not have a high concentration of low-income families; and
- For families receiving welfare-to-work vouchers, a description of any local obligations of a welfare-to-work family and an explanation that failure to meet the obligations is grounds for denial of admission or termination of assistance.

## **Briefing Packet [24 CFR 982.301(b)]**

Documents and information provided in the briefing packet must include the following:

- The term of the voucher, voucher suspensions, and the PHA's policies on any extensions of the term. If the PHA allows extensions, the packet must explain how the family can request an extension.
- A description of the method used to calculate the housing assistance payment for a family, including how the PHA determines the payment standard for a family, how the PHA determines total tenant payment for a family, and information on the payment standard and utility allowance schedule.
- An explanation of how the PHA determines the maximum allowable rent for an assisted unit.
- Where the family may lease a unit and an explanation of how portability works, including information on how portability may affect the family's assistance through screening, subsidy standards, payment standards, and any other elements of the portability process that may affect the family's assistance.
- The HUD-required tenancy addendum, which must be included in the lease.
- The form the family must use to request approval of tenancy, and a description of the procedure for requesting approval for a tenancy.
- A statement of the PHA policy on providing information about families to prospective owners.
- The PHA subsidy standards including when and how exceptions are made.
- Materials (e.g., brochures) on how to select a unit and any additional information on selecting a unit that HUD provides.
- Information on federal, state and local equal opportunity laws and a copy of the housing discrimination complaint form.
- A list of landlords known to the PHA who may be willing to lease a unit to the family or other resources (e.g., newspapers, organizations, online search tools) known to the PHA that may assist the family in locating a unit. PHAs must ensure that the list of landlords or other resources covers areas outside of poverty or minority concentration.
- Notice that if the family includes a person with disabilities, the family may request a list of available accessible units known to the PHA.
- The family obligations under the program, including any obligations of a welfare-to-work family.
- The grounds on which the PHA may terminate assistance for a participant family because of family action or failure to act.
- PHA informal hearing procedures including when the PHA is required to offer a participant family the opportunity for an informal hearing, and how to request the hearing.
- An explanation of the advantages of moving to an area that does not have a high concentration of low-income families.

If the PHA is located in a metropolitan area, the following additional information must be included in the briefing packet in order to receive full points under SEMAP Indicator 7, Expanding Housing Opportunities [24 CFR 985.3(g)]:

- Maps showing areas with housing opportunities outside areas of poverty or minority concentration, both within its jurisdiction and its neighboring jurisdiction,
- Information about the characteristics of these areas including job opportunities, schools, transportation, and other services, and
- An explanation of how portability works, including a list of portability contact persons for neighboring PHAs with names, addresses, and telephone numbers.

## **Additional Items to Be Included in the Briefing Packet**

In addition to items required by the regulations, PHAs may wish to include supplemental materials to help explain the program to both participants and owners [HCV GB p. 8-7, Notice PIH 2017-12].

### **MDOC Policy**

**MDOC will provide the following additional materials in the briefing packet:**

- The HUD pamphlet on lead-based paint entitled **Protect Your Family from Lead in Your Home**,
- Information on how to fill out and file a housing discrimination complaint form,
- The form HUD-5380 domestic violence certification form and the form HUD-5382 notice of occupancy rights, which contains information on VAWA protections for victims of domestic violence, dating violence, sexual assault, and stalking,
- Violence Against Women's Act (VAWA) Emergency Transfer Plan,
- "Is Fraud Worth It?" (form HUD-1141-OIG), which explains the types of actions a family must avoid and the penalties for program abuse,
- "What You Should Know about EIV," a guide to the Enterprise Income Verification (EIV) system published by HUD as an attachment to Notice PIH 2017-12,
- Explanation of Utility Allowance, and
- Housing Quality Standards and Common Failed Items.

## **5-I.C. FAMILY OBLIGATIONS**

Obligations of the family are described in the housing choice voucher (HCV) regulations and on the voucher itself. These obligations include responsibilities the family is required to fulfill, as well as prohibited actions. The PHA must inform families of these obligations during the oral briefing, and the same information must be included in the briefing packet. When the family's unit is approved and the HAP contract is executed, the family must meet those obligations in order to continue participating in the program. Violation of any family obligation may result in termination of assistance, as described in Chapter 12.

### **Time Frames for Reporting Changes Required By Family Obligations**

#### **MDOC Policy**

**Unless otherwise noted below, the family is required to notify MDOC of any changes in income or family composition within 30 days of the change.**

**In addition, the family must report changes to contact information, which include mailing address, phone number and e-mail address.**

### **Family Obligations [24 CFR 982.551]**

The family obligations of the voucher are listed as follows:

- The family must supply any information that the PHA or HUD determines to be necessary, including submission of required evidence of citizenship or eligible immigration status.
- The family must supply any information requested by the PHA or HUD for use in a regularly scheduled reexamination or interim reexamination of family income and composition.
- The family must disclose and verify social security numbers and sign and submit consent forms for obtaining information.

- Any information supplied by the family must be true and complete.
- The family is responsible for any Housing Quality Standards (HQS) breach by the family caused by failure to pay tenant-provided utilities or appliances, or damages to the dwelling unit or premises beyond normal wear and tear caused by any member of the household or guest.

### **MDOC Policy**

**Damages beyond normal wear and tear will be considered to be damages.**

- The family must allow the PHA to inspect the unit at reasonable times and after reasonable notice, as described in Chapter 8 of this plan.
- The family must not commit any serious or repeated violation of the lease.

### **MDOC Policy**

**MDOC will determine if a family has committed serious or repeated violations of the lease based on available evidence, including but not limited to, a court-ordered eviction or an owner's notice to evict, police reports, and affidavits from the owner, neighbors, or other credible parties with direct knowledge.**

**Serious and repeated lease violations will include, but not be limited to, nonpayment of rent, disturbance of neighbors, destruction of property, living or housekeeping habits that cause damage to the unit or premises, and criminal activity. Generally, the criterion to be used will be whether or not the reason for the eviction was the fault of the tenant or guests. Any incidents of, or criminal activity related to, domestic violence, dating violence, sexual assault, or stalking will not be construed as serious or repeated lease violations by the victim [24 CFR 5.2005(c)(1)].**

- The family must notify the PHA and the owner before moving out of the unit or terminating the lease.

### **MDOC Policy**

**The family must provide a 30 day written notice to the owner. The family must provide written notice to MDOC at the same time the owner is notified.**

- The family must promptly give the PHA a copy of any owner eviction notice.
- The family must use the assisted unit for residence by the family. The unit must be the family's only residence.
- The composition of the assisted family residing in the unit must be approved by the PHA. The family must promptly notify the PHA in writing of the birth, adoption, or court-awarded custody of a child. The family must request PHA approval to add any other family member as an occupant of the unit.

### **MDOC Policy**

**A request to add a family member must be submitted in writing and approved prior to the person moving into the unit. MDOC will determine eligibility of the new member in accordance with the policies in Chapter 3.**

- The family must promptly notify the PHA in writing if any family member no longer lives in the unit.
- If the PHA has given approval, a foster child or a live-in aide may reside in the unit. The PHA has the discretion to adopt reasonable policies concerning residency by a foster child or a live-in aide, and to define when PHA consent may be given or denied. For policies related to the request and approval/disapproval of foster children, foster adults, and live-in aides, see Chapter 3 (sections I.K and I.M), and Chapter 11 (section II.B).
- The family must not sublease the unit, assign the lease, or transfer the unit.

### **MDOC Policy**

**Subleasing includes receiving payment to cover rent and utility costs by a person living in the unit who is not listed as a family member on the HUD-50058.**

- The family must supply any information requested by the PHA to verify that the family is living in the unit or information related to family absence from the unit.
- The family must promptly notify the PHA when the family is absent from the unit.

### **MDOC Policy**

**Notice is required under this provision only when all family members will be absent from the unit for an extended period. An extended period is defined as any period greater than 30 calendar days. Written notice must be provided to MDOC at the start of the extended absence.**

- The family must pay utility bills and provide and maintain any appliances that the owner is not required to provide under the lease [Form HUD-52646, Voucher].
- The family must not own or have any interest in the unit, (other than in a cooperative and owners of a manufactured home leasing a manufactured home space).
- Family members must not commit fraud, bribery, or any other corrupt or criminal act in connection with the program. (See Chapter 14, Program Integrity for additional information).
- Family members must not engage in drug-related criminal activity or violent criminal activity or other criminal activity that threatens the health, safety or right to peaceful enjoyment of other residents and persons residing in the immediate vicinity of the premises. See Chapter 12 for HUD and PHA policies related to drug-related and violent criminal activity.
- Members of the household must not engage in abuse of alcohol in a way that threatens the health, safety or right to peaceful enjoyment of the other residents and persons residing in the immediate vicinity of the premises. See Chapter 12 for a discussion of HUD and PHA policies related to alcohol abuse.
- An assisted family or member of the family must not receive HCV program assistance while receiving another housing subsidy, for the same unit or a different unit under any other federal, state or local housing assistance program.
- A family must not receive HCV program assistance while residing in a unit owned by a parent, child, grandparent, grandchild, sister or brother of any member of the family, unless the PHA has determined (and has notified the owner and the family of such determination) that approving rental of the unit, notwithstanding such relationship, would provide reasonable accommodation for a family member who is a person with disabilities. [Form HUD-52646, Voucher]

## **PART II: SUBSIDY STANDARDS AND VOUCHER ISSUANCE**

### **5-II.A. OVERVIEW**

The PHA must establish subsidy standards that determine the number of bedrooms needed for families of different sizes and compositions. This part presents the policies that will be used to determine the family unit size (also known as the voucher size) a particular family should receive, and the policies that govern making exceptions to those standards. The PHA must also establish policies related to the issuance of the voucher, to the voucher term, and to any extensions of the voucher term.

### **5-II.B. DETERMINING FAMILY UNIT (VOUCHER) SIZE [24 CFR 982.402]**

For each family, the PHA determines the appropriate number of bedrooms under the PHA subsidy standards and enters the family unit size on the voucher that is issued to the family. The family unit size does not dictate the size of unit the family must actually lease, nor does it determine who within a household will share a bedroom/sleeping room.

The following requirements apply when the PHA determines family unit size:

- The subsidy standards must provide for the smallest number of bedrooms needed to house a family without overcrowding.
- The subsidy standards must be consistent with space requirements under the housing quality standards.
- The subsidy standards must be applied consistently for all families of like size and composition.
- A child who is temporarily away from the home because of placement in foster care is considered a member of the family in determining the family unit size.
- A family that consists of a pregnant woman (with no other persons) must be treated as a two-person family.
- Any live-in aide (approved by the PHA to reside in the unit to care for a family member who is disabled or is at least 50 years of age) must be counted in determining the family unit size;
- Unless a live-in-aide resides with a family, the family unit size for any family consisting of a single person must be either a zero- or one-bedroom unit, as determined under the PHA subsidy standards.

### **MDOC Policy**

**MDOC will assign one bedroom for each two persons within the household. This subsidy standard will apply to the following:**

- **All new program participants**
- **Any program participants that wish to change units**
- **Any program participants that have a change in family composition that affects the subsidy standards at annual reexamination.**
- 

**MDOC will grant one additional bedroom to accommodate a full-time live-in aide. Live-in aides will be allotted a maximum of one bedroom. No additional bedrooms will be provided for additional family members of the live-in aide.**

**Foster children will be considered when determining bedroom size upon third party verification of placement and reviewed at annual reexamination.**

**Efficiency units (zero bedroom) will not be assigned.**

**MDOC will reference the following chart in determining the appropriate voucher size for a family:**

<b>Voucher Size</b>	<b>Persons in Household (Minimum – Maximum)</b>
<b>1 Bedroom</b>	<b>1-2</b>
<b>2 Bedrooms</b>	<b>3-4</b>
<b>3 Bedrooms</b>	<b>5-6</b>
<b>4 Bedrooms</b>	<b>7-8</b>
<b>5 Bedrooms</b>	<b>9-10</b>

### **5-II.C. EXCEPTIONS TO SUBSIDY STANDARDS**

In determining family unit size for a particular family, the PHA may grant an exception to its established subsidy standards if the PHA determines that the exception is justified by the age, sex, health, handicap, or relationship of family members or other personal circumstances [24 CFR 982.402(b)(8)]. Reasons may include, but are not limited to:

- A need for an additional bedroom for medical equipment and
- A need for a separate bedroom for reasons related to a family member's disability, medical or health condition.

For a single person who is not elderly, disabled, or a remaining family member, an exception cannot override the regulatory limit of a zero or one bedroom [24 CFR 982.402(b)(8)].

#### **MDOC Policy**

**MDOC will not grant any exceptions to the subsidy standards except as needed due to a reasonable accommodation.**

**The family must request any exception to the subsidy standards due to reasonable accommodations verbally or in writing. Requests based on health-related reasons must be verified by a knowledgeable professional source (e.g., doctor or health professional), unless the disability and the disability-related request for accommodation is readily apparent or otherwise known. The family's continued need for an additional bedroom due to special medical equipment may be re-verified at annual reexamination or biennial inspection.**

**MDOC will notify the family of its determination within 14 calendar days of receiving the family's request. If a participant family's request is denied, the notice will inform the family of their right to request an informal hearing.**

**Additional information on a reasonable accommodation request is located in Chapter 2.**

### **5-II.D. VOUCHER ISSUANCE [24 CFR 982.302]**

When a family is selected from the waiting list (or as a special admission as described in Chapter 4), or when a participant family wants to move to another unit, the PHA issues a Housing Choice Voucher, form HUD-52646. This chapter deals only with voucher issuance for applicants. For voucher issuance associated with moves of program participants, please refer to Chapter 10.

The voucher is the family's authorization to search for housing. It specifies the unit size for which the family qualifies and includes both the date of voucher issuance and date of expiration. It contains a brief description of how the program works and explains the family obligations under the program. The voucher is evidence that the PHA has determined the family to be eligible for the program, and that the PHA expects to have money available to subsidize the family if the family finds an approvable unit. However, the PHA does not have any liability to any party by the issuance of the voucher, and the voucher does not give the family any right to participate in the PHA's housing choice voucher program [Voucher, form HUD-52646]. A voucher can be issued to an applicant family only after the PHA has determined that the family is eligible for the program based on verification of information received within the 60 days prior to issuance [24 CFR 982.201(e)] and after the family has attended an oral briefing [HCV 8-1].

### **MDOC Policy**

**Vouchers will be issued to eligible applicants following the mandatory briefing.**

The PHA should have sufficient funds to house an applicant before issuing a voucher. If funds are insufficient to house the family at the top of the waiting list, the PHA must wait until it has adequate funds before it calls another family from the list [HCV GB p. 8-10].

### **MDOC Policy**

**Prior to issuing any vouchers, MDOC will determine whether it has sufficient funding.**

If the PHA determines that there is insufficient funding after a voucher has been issued, the PHA may rescind the voucher and place the affected family back on the waiting list.

## **5-II.E. VOUCHER TERM AND EXTENSIONS**

### **Voucher Term [24 CFR 982.303]**

The initial term of a voucher must be at least 60 calendar days. The initial term must be stated on the voucher [24 CFR 982.303(a)].

### **MDOC Policy**

**The initial voucher term will be 60 calendar days.**

**The family must submit a Request for Tenancy Approval and proposed lease within the 60-day period unless MDOC grants an extension.**

**The initial voucher term for VASH applicants will be 120 calendar days.**

### **Extensions of Voucher Term [24 CFR 982.303(b)]**

The PHA has the authority to grant extensions of search time, to specify the length of an extension, and to determine the circumstances under which extensions will be granted. There is no limit on the number of extensions that the PHA can approve. Discretionary policies related to extension and expiration of search time must be described in the PHA's administrative plan [24 CFR 982.54].

PHAs must approve additional search time if needed as a reasonable accommodation to make the program accessible to and usable by a person with disabilities. The extension period must be reasonable for the purpose.

The family must be notified in writing of the PHA's decision to approve or deny an extension. The PHA's

decision to deny a request for an extension of the voucher term is not subject to informal review [24 CFR 982.554(c)(4)].

### **MDOC Policy**

Voucher holders are required to provide MDOC with a request for lease approval or request an extension of the voucher term in writing, prior to expiration of the initial voucher term. The initial voucher issuance will be granted for 60 calendar days. MDOC may consider up to two additional extensions of 30 calendar days on a case-by-case basis. MDOC will notify the family of the term of the extension. The maximum voucher term will not exceed 120 days.

**MDOC will approve additional extensions only in the following circumstances:**

- It is necessary as a reasonable accommodation for a person with disabilities.
- It is necessary due to reasons beyond the family's control, as determined by MDOC.

The following is a list of extenuating circumstances that MDOC may consider in making its decision. The presence of these circumstances does not guarantee that an extension will be granted:

- Serious illness or death in the family
- Whether the family has already submitted requests for tenancy approval that were not approved by MDOC
- Landlord decides against participation in the program
- Whether family size or other special circumstances, such as the limited availability of rentals in the locale, make it difficult to find a suitable unit. Documentation of the family's search will be required
- Any request for an additional extension must include the reason(s) an additional extension is necessary. MDOC may require the family to provide documentation to support the request or obtain verification from a qualified third party.

**MDOC will decide whether to approve or deny an extension request within 14 calendar days of the date the request is received and will immediately provide the family written notice of its decision.**

### **Suspensions of Voucher Term [24 CFR 982.303(c)]**

The PHA must provide for suspension of the initial or any extended term of the voucher from the date the family submits a request for PHA approval of the tenancy until the date the PHA notifies the family in writing whether the request has been approved or denied.

### **MDOC Policy**

**When a Request for Tenancy Approval (RTA) and proposed lease is received by MDOC, the term of the voucher, including any extended term, will be suspended (tolling) from the date MDOC receives a Request for Tenancy Approval until the date MDOC notifies the family in writing whether the request is approved or denied.**

**An example of the voucher suspension (tolling): a family has been issued a voucher that starts on March 1, 2018 and expires April 30, 2018 (60 calendar days). They**

**submit an RTA on March 25th. The RTA is not approved until March 28th. The voucher will be extended by 3 calendar days (March 28 minus March 25 = 3 calendar days). Add 3 calendar days to April 30, 2018. The voucher will now expire on May 3, 2018.**

**Note: The voucher term will not be suspended if the RTA is incomplete or inaccurate.**

### **Expiration of Voucher Term**

Once a family's housing choice voucher term (including any extensions) expires, the family is no longer eligible to search for housing under the program. If the family still wishes to receive assistance, the PHA may require that the family reapply, or may place the family on the waiting list with a new application date but without requiring reapplication. Such a family does not become ineligible for the program on the grounds that it was unable to locate a unit before the voucher expired [HCV GB p. 8-13].

### **MDOC Policy**

**If an applicant family's voucher term or extension expires before the family has submitted a complete and accurate Request for Tenancy Approval (RTA), the family will be denied assistance and informed that they will be required to reapply for assistance. Within 14 calendar days after the expiration of the voucher term or any extension, MDOC will notify the family in writing that the voucher term has expired and that the family must reapply in order to be placed on the waiting list.**